



47

RCE/2800
#

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Application No. 09/831,539
Filing Date April 15, 2002
First Named Inventor Anand S. Murthy
Art Unit 2823
Examiner Name Kebede, Brook
Attorney Docket No. 42390.P6624F

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See instruction sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** – Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. Previously submitted If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.)
- ii. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. Other _____
- b. Enclosed
- i. Preliminary Amendment/Reply
ii. Affidavit(s)/Declaration(s)
iii. Information Disclosure Statement (IDS)
iv. Other _____

2. **Miscellaneous**

- a. Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(e) for a period of _____ months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) required)
- b. Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by C.F.R. § 1.114 when the RCE is filed.

- a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. RCE fee required under 37 C.F.R. § 1.17(e)
ii. Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
iii. Processing fee under 37 CFR § 1.17(i) for Limited Suspension of Action
iv. Other _____
- b. Check in the amount of \$ 770.00 enclosed
c. Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type) Heather M. Molleur Registration No. (Attorney/Agent) 50,432

Signature Heather M. Molleur Date 10/29/03

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

Name (Print/Type) Claire Wallters Date 10/29/2003

Signature Claire Wallters Date 10/29/2003

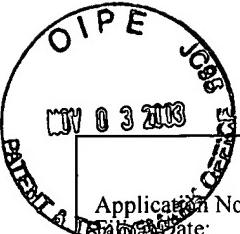
Express Mail No. (only if applicable): _____

RECEIVED
NOV - 5 2003
TECHNOLOGY CENTER 2800

11/04/2003 BABRAHAI 00000113 09831539

01 FC:1801

770.00 OP

**AMENDMENT TRANSMITTAL****PATENT**

Application No.: 09/831,539
Filing Date: April 15, 2002
First Named Inventor Anand S. Murthy
Examiner's Name: Kebede, Brook
Art Unit: 2823
Attorney Docket No.: 42390.P6624F

 An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.

Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.

Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.

 Applicant(s) claim small entity status (37 CFR 1.27).

ATTACHMENTS

- Preliminary Amendment
 Amendment/Response with respect to Office Action
 Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
 Notice of Appeal
 RCE (Request for Continued Examination)
 Supplemental Declaration
 Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
 Information Disclosure Statement (IDS)
 Copies of IDS citations
 Petition for Extension of Time
 Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
 Cross-Reference to Related Application(s)
 Certified Copy of Priority Document
 Other:
 Other:
 Check(s)
 Postcard (Return Receipt)

SUBMITTED BY:

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Heather M. Molleur

SIGNATURE: Heather M. Molleur DATE: 10/29/03

REG. NO.: 50,432

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on October 29, 2003

Date of Deposit

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters

10/29/2003

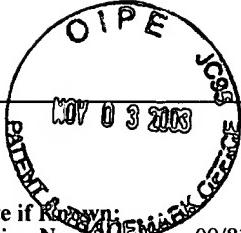
Date

Express Mail Label No. (if applicable): _____

(10/14/03)

Send to: COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450

RECEIVED
NOV - 5 2003
TECHNOLOGY CENTER 2800



FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 770.00

Complete if Known:

Application No. 09/831,539

Filing Date April 15, 2002

First Named Inventor Anand S. Murthy

Examiner Name Kebede, Brook

Art Unit 2823

Attorney Docket No. 42390.6624F

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name:

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

Credit any overpayments.

Charge any additional fees during the pendency of this application.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee	Fee	Fee
-----	-----	-----

Code	(\$)	Code	(\$)	Fee Description
1001	7702001	385		Utility application filing fee
1002	3402002	170		Design application filing fee
1003	5302003	265		Plant filing fee
1004	7702004	385		Reissue filing fee
1005	1602005	80		Provisional application filing fee

RECEIVED
TECHNOLOGY CENTER 2800
NOV - 5 2003

Fee Paid

SUBTOTAL (1) \$ 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims			Fee from below	Fee Paid
--------------	--	--	-------------------	----------

Total Claims	<u>19</u>	<u>- 30** = 0</u>	X _____	= <u>0</u>
--------------	-----------	-------------------	---------	------------

Independent Claims	<u>3</u>	<u>- 4** = 0</u>	X _____	= <u>0</u>
--------------------	----------	------------------	---------	------------

Multiple Dependent

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee	Fee	Fee
-----	-----	-----

Code	(\$)	Code	(\$)	Fee Description
1202	182202	9		Claims in excess of 20
1201	862201	43		Independent claims in excess of 3
1203	2902203	145		Multiple dependent claim, if not paid
1204	862204	43		**Reissue independent claims over original patent
1205	182205	9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1814	110	2814	55
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,330	1454	1,330
			Acceptance of unintentionally delayed claim for priority

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 770.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Heather M. MolleurSignature: Heather M. Molleur Date: 10/29/03Reg. Number: 50,432 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Patent

RECEIVED
NOV - 5 2003
TECHNOLOGY CENTER 2800

Application No. 09/831,539
Preliminary Amdt. October 29, 2003
Reply to Office Action of July 30, 2003

Attorney's Docket No.: 42390.P6624F

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Anand S. Murthy et al.

U.S. Serial No: 09/831,539

Filed: April 15, 2002

For: FIELD EFFECT TRANSISTOR
STRUCTURE WITH ABRUPT
SOURCE/DRAIN JUNCTIONS

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Conf. No.: 6105

Examiner: Kebede, Brook

Art Unit: 2823

PRELIMINARY AMENDMENT

In response to the Final Office Action mailed July 30, 2003 and the Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 filed concurrently herewith, Applicant respectfully requests the Examiner to enter this preliminary amendment and consider the remarks prior to examination of the above referenced case.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on October 29, 2003

Date of Deposit

Claire Wallters
Name of Person Mailing Correspondence
Signature

10/29/2003
Date